

Regular and Non Regular Languages

2 Regular Languages

- There is a countably infinite number of regular languages.

$\{a\}, \{aa\}, \{aaa\}, \{aaaa\}, \{aaaaa\}, \{aaaaaa\}, \dots$

- Every finite language is regular.

$$s_1 \cup s_2 \cup \dots \cup s_n$$

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3 Closure Properties of Regular Languages

- Theorem:** The regular languages are closed under **union**, **concatenation**, and **Kleene star**.
- Proof:** By the same constructions that were used in the proof of Kleene's theorem

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4 Closure Properties of Regular Languages

- Theorem:** The regular languages are closed under complement, intersection, difference, reverse, and letter substitution.

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5 Closure Properties of Regular Languages

- Theorem:** The regular languages are closed under **complement**, intersection, difference, reverse, and letter substitution.
- The regular languages are closed under complement. If L_1 is regular, then there exists a DFSM $M_1 = (K, \Sigma, \delta, s, A)$ that accepts it. The DFSM $M_2 = (K, \Sigma, \delta, s, K - A)$, namely M_1 with accepting and nonaccepting states swapped, accepts $\neg(L(M_1))$ because it rejects all strings that M_1 accepts and rejects all strings that M_1 accepts.

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6 Closure Properties of Regular Languages

- Theorem:** The regular languages are closed under complement, **intersection**, difference, reverse, and letter substitution.

- The regular languages are closed under intersection. We note that:

$$L(M_1) \cap L(M_2) = \neg(\neg L(M_1) \cup \neg L(M_2)).$$

We have already shown that the regular languages are closed under both complement and union. Thus they are also closed under intersection.

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7 **Closure Properties of Regular Languages**

- Theorem: The regular languages are closed under complement, intersection, **difference**, reverse, and letter substitution.

- The regular languages are closed under set difference (subtraction). We note that:

$$L(M_1) - L(M_2) = L(M_1) \cap \neg L(M_2).$$

We have already shown that the regular languages are closed under both complement and intersection. Thus they are also closed under set difference.

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8 **Closure Properties of Regular Languages**

- Theorem: The regular languages are closed under complement, intersection, difference, **reverse**, and **letter substitution**.

- The regular languages are closed under reverse. Recall that $L^R = \{w \in \Sigma^* : w = x^R \text{ for some } x \in L\}$. We leave the proof of this as an exercise.
- The regular languages are closed under letter substitution, defined as follows: Consider any two alphabets, Σ_1 and Σ_2 . Let sub be any function from Σ_1 to Σ_2^* . Then $letsub$ is a letter substitution function from L_1 to L_2 iff $letsub(L_1) = \{w \in \Sigma_2^* : \exists y \in L_1 (w = y \text{ except that every character } c \text{ of } y \text{ has been replaced by } sub(c))\}$. For example, suppose that $\Sigma_1 = \{a, b\}$, $\Sigma_2 = \{0, 1\}$, $sub(a) = 0$, and $sub(b) = 11$. Then $letsub(\{a^n b^n : n \geq 0\}) = \{0^n 1^{2n} : n \geq 0\}$. We leave the proof that the regular languages are closed under letter substitution as an exercise.

9 **Long Strings Force Repeated States**


Theorem: Let $M = (K, \Sigma, \delta, s, A)$ be any DFSM. If M accepts any string of length $|K|$ or greater, then that string will force M to visit some state more than once (thus traversing at least one loop).

Proof: M must start in one of its states. Each time it reads an input character, it visits some state. So, in processing a string of length n , M creates a total of $n + 1$ state visits (the initial one plus one for each character it reads). If $n + 1 > |K|$, then, by the pigeonhole principle, some state must get more than one visit. So, if $n \geq |K|$, then M must visit at least one state more than once.

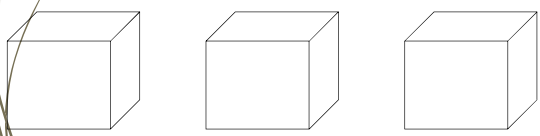
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4 pigeons



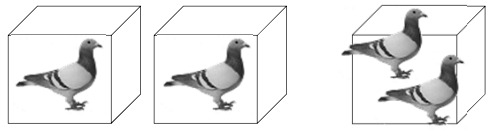
3 pigeonholes



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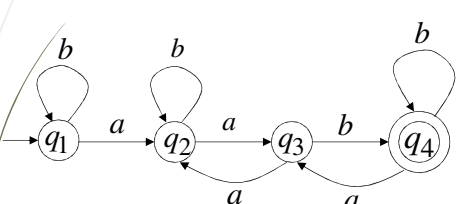
A pigeonhole must contain at least two pigeons



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Consider a DFA with 4 states



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13 Consider the walk of a "long" string: *aaaaab*
(length at least 4)

A state is repeated in the walk of *aaaaab*

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14 Showing That a Language is Not Regular

The Pumping Theorem for Regular languages

Theorem: If L is a regular language, then:

$$\exists k \geq 1 (\forall \text{ strings } w \in L, \text{ where } |w| \geq k (\exists x, y, z (w = xyz, |xy| \leq k, y \neq \epsilon, \text{ and } \forall q \geq 0 (xy^qz \in L))))).$$

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Theorem: If L is a regular language, then:

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- If L is regular then it is accepted by some DFSM $M = (K, \Sigma, \delta, s, A)$.
- Let k be $|K|$.
- Let w be any string in L of length k or greater.
- By previous theorem to accept w , M must traverse some loop at least once.
- We can carve w up and assign the name y to the first substring to drive M through a loop.
- Then x is the part of w that precedes y and z is the part of w that follows y .
- We show that each of the last three conditions must then hold:

16 In DFSM: $w = x y z$

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Theorem: If L is a regular language, then:

$$\exists k \geq 1 (\forall \text{ strings } w \in L, \text{ where } |w| \geq k (\exists x, y, z (w = xyz, |xy| \leq k, y \neq \epsilon, \text{ and } \forall q \geq 0 (xy^qz \in L))))).$$

- We show that each of the last three conditions must then hold:
- $|xy| \leq k$: M must not only traverse a loop eventually when reading w , it must do so for the first time by at least the time it has read k characters. It can read $k - 1$ characters without revisiting any states. But the k^{th} character must, if no earlier character already has, take M to a state it has visited before. Whatever character does that is the last in one pass through some loop.
- $y \neq \epsilon$: Since M is deterministic, there are no loops that can be traversed by ϵ .

Theorem: If L is a regular language, then:

$$\exists k \geq 1 (\forall \text{ strings } w \in L, \text{ where } |w| \geq k (\exists x, y, z (w = xyz, |xy| \leq k, y \neq \epsilon, \text{ and } \forall q \geq 0 (xy^qz \in L))))).$$

- We show that each of the last three conditions must then hold:
- $\forall q \geq 0 (xy^qz \in L)$: y can be pumped out once (which is what happens if $q = 0$) or in any number of times (which happens if q is greater than 1) and the resulting string must be in L since it will be accepted by M . It is possible that we could chop y out more than once and still generate a string in L , but without knowing how much longer w is than k , we don't know any more than that it can be pumped out once.

19 Applications of Pumping Lemma

- ▶ Pumping Lemma is to be applied to show that certain languages are not regular.
- ▶ It should never be used to show a language is regular.
 - ▶ If L is regular, it satisfies Pumping Lemma.
 - ▶ If L does not satisfy Pumping Lemma, it is non-regular.

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- ▶ The Pumping Theorem is a powerful tool for showing that a language is not regular.
- ▶ But, as with any tool, using it effectively requires some skill, To see how the theorem can be used,
- ▶ We can state it, in most general terms:

For any language L , if L is regular, then every "long" string in L is pumpable.

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In a nutshell then, to use the Pumping Theorem to show that a language L is not regular, we must:

1. Choose a string w , where $w \in L$ and $|w| \geq k$. Note that we do not know what k is; we know only that it exists. So we must state w in terms of k .
2. Divide the possibilities for y into a set of equivalence classes so that all strings in a class can be considered together.
3. For each such class of possible y values, where $|xy| \leq k$ and $y \neq \epsilon$:
Choose a value for q such that xy^qz is not in L .

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22 Prove that $L = \{a^n b^n \mid n \geq 0\}$ is not regular.

- ▶ If L is regular, $\exists k \forall w \in L, |w| \geq k$
- ▶ Let $w = a^k b^k$. Thus $|w| = 2k \geq k$.
- ▶ By pumping lemma, let $w = xyz$, where $|xy| \leq k$.
- ▶ To guarantee $|xy| \leq k$, y must occur with first k characters, so $y = a^p$, for some p
- ▶ To guarantee $y \neq \epsilon$, $\Rightarrow p > 0$ and the resulting string is $a^{n+q} b^n$,
- ▶ As per the last condition of the pumping lemma, the string $a^{n+q} b^n$ must be in L . But in reality it is not
- ▶ Thus, xy^2z is not in L . Hence L is not regular.

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23 Prove that Balanced Parenthesis Language is Not Regular

Let L be $Bal = \{w \in \{(), \{ \}^* \mid \text{the parentheses are balanced}\}$. If L were regular, then there would exist some k such that any string w , where $|w| \geq k$, must satisfy the conditions of the theorem. Bal contains complex strings like $(())(())$. But it is almost always easier to use the Pumping Theorem if we pick as simple a string as possible. So, let $w = ({}^k) {}^k$. Since $|w| = 2k$ and w is in L , w must satisfy the conditions of the Pumping Lemma. So there must exist x, y , and z , such that $w = xyz, |xy| \leq k, y \neq \epsilon$, and $\forall q \geq 0 (xy^qz \in L)$. But we show that no x, y , and z exist. Since $|xy| \leq k$, y must occur within the first k characters and so $y = ({}^p$ for some p). Since $y \neq \epsilon$, p must be greater than 0. Let $q = 2$. (In other words, we pump in one extra copy of y .) The resulting string is $({}^{k+p}) {}^k$. The last condition of the Pumping Theorem states that this string must be in L , but it is not since it has more '(' than ')'. There exists at least one long string in L that fails to satisfy the conditions of the Pumping Theorem. So $L = Bal$ is not regular.

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24 Prove Even Palindrome language is Not Regular

Let L be $PalEven = \{xx^R \mid x \in (a,b)^*\}$. $PalEven$ is the language of even-length palindromes of a 's and b 's. We can use the Pumping Theorem to show that $PalEven$ is not regular. If it were, then there would exist some k such that any string w , where $|w| \geq k$, must satisfy the conditions of the theorem. We show one string w that does not. (Note here that the variable w used in the definition of L is different from the variable w mentioned in the Pumping Theorem.) We will choose w so that we only have to consider one case for where y could fall. Let $w = a^k b^k a^k$. Since $|w| = 4k$ and w is in L , w must satisfy the conditions of the Pumping Theorem. So there must exist x, y , and z , such that $w = xyz, |xy| \leq k, y \neq \epsilon$, and $\forall q \geq 0 (xy^qz \in L)$. Since $|xy| \leq k$, y must occur within the first k characters and so $y = a^p$ for some p . Since $y \neq \epsilon$, p must be greater than 0. Let $q = 2$. The resulting string is $a^{k+p} b^k a^k$. If p is odd, then this string is not in $PalEven$ because all strings in $PalEven$ have even length. If p is even then it is at least 2, so the first half of the string has more a 's than the second half does, so it is not in $PalEven$. So $L = PalEven$ is not regular.

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25 The Language with More a's Than b's is Not Regular

Let $L = \{a^n b^m : n > m\}$. We can use the Pumping Theorem to show that L is not regular. If it were, then there would exist some k such that any string w , where $|w| \geq k$, must satisfy the conditions of the theorem. We show one string w that does not. Let $w = a^{k+1}b^k$. Since $|w| = 2k + 1$ and w is in L , w must satisfy the conditions of the Pumping Theorem. So there must exist x, y , and z , such that $w = xyz$, $|xy| \leq k$, $y \neq \epsilon$, and $\forall q \geq 0 (xy^qz \in L)$. Since $|xy| \leq k$, y must occur within the first k characters and so $y = a^p$ for some p . Since $y \neq \epsilon$, p must be greater than 0. There are already more a's than b's, as required by the definition of L . If we pump in, there will be even more a's and the resulting string will still be in L . But we can set q to 0 (and so pump out). The resulting string is then $a^{k+1-p}b^k$. Since $p > 0$, $k + 1 - p \leq k$, so the resulting string no longer has more a's than b's and so is not in L . There exists at least one long string in L that fails to satisfy the conditions of the Pumping Theorem. So L is not regular.

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26 The Prime Number of a's Language is Not Regular

Let L be $\text{Prime}_a = \{a^n : n \text{ is prime}\}$. We can use the Pumping Theorem to show that L is not regular. If it were, then there would exist some k such that any string w , where $|w| \geq k$, must satisfy the conditions of the theorem. We show one string w that does not. Let $w = a^j$, where j is the smallest prime number greater than $k + 1$. Since $|w| > k$, w must satisfy the conditions of the Pumping Theorem. So there must exist x, y , and z , such that $w = xyz$, $|xy| \leq k$ and $y \neq \epsilon$, $y = a^p$ for some p . The Pumping Theorem further requires that $\forall q \geq 0 (xy^qz \in L)$. So, $\forall q \geq 0 (a^{k+1+q|p|})$ must be in L . That means that $|x| + |z| + q \cdot |y|$ must be prime.

But suppose that $q = |x| + |z|$. Then:

$$|x| + |z| + q \cdot |y| = |x| + |z| + (|x| + |z|) \cdot |y|$$

$$= (|x| + |z|) \cdot (1 + |y|),$$

which is composite (non-prime) if both factors are greater than 1. $(|x| + |z|) > 1$ because $|w| > k + 1$, and $|y| \leq k$. $(1 + |y|) > 1$ because $|y| > 0$. So, for at least that one value of q , the resulting string is not in L . So L is not regular.

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Thank you

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